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July 16, 2004

File No. 1323.01

## CERTIFICATE OF 1<sup>ST</sup> CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to MAIL STOP DD, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450, on the following date: July 16, 2004.

  
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**MAIL STOP DD**  
Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

RE: *U.S. Patent Application Serial No. 10/825,658;*  
*Entitled: "Method for Making a Termination for a Wire Rope for Mining Equipment;"*  
*Inventors: George Robert Gregory and Robert McClure Love, III.*

Sirs:

Enclosed for filing in the above-mentioned application is:

- (1) An Information Disclosure Statement;
- (2) A Form PTO-1449 listing references A1-A3; and
- (3) A postcard. Please date stamp and return the enclosed postcard to evidence receipt of these materials.

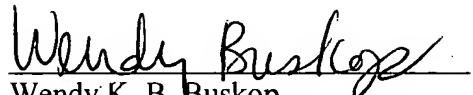
# BUSKOP LAW GROUP, P.C.

Information Disclosure Statement Transmittal  
Application Serial No. 10/825,658

July 16, 2004  
Attorney Docket No. 1323.01

No fees are believed to be due in connection with these materials. However, the Commissioner is hereby authorized to charge any deficiencies to Deposit Account No 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: George Robert Gregory  
and Robert McClure Love, III

Group Art Unit: 1725

Serial No.: 10/825,658

Examiner: Not Assigned

Filed: April 14, 2004

For: Method for Making a Termination for a  
Wire Rope for Mining Equipment

Attorney Docket No.: 1323.01

CERTIFICATE OF 1<sup>ST</sup> CLASS MAIL

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INFORMATION DISCLOSURE STATEMENT

Sir:

- I. Applicants hereby submit an Information Disclosure Statement and enclose a Form PTO-1449 listing references for consideration by the Examiner. A copy of the References are not enclosed.
- II. Applicants hereby request the Examiner to consider each cited reference. As required under 37 C.F.R. § 1.98(a)(3)(i), the following are concise explanations of the relevance of each reference, as they are presently understood:

Information Disclosure Statement  
Application Serial No. 10/825,658

July 16, 2004  
Attorney Docket No. 1323.01

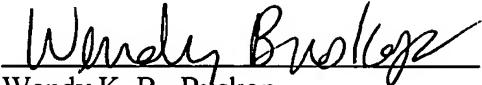
**REFERENCE A1 (US 6,170,145 – Lucas):** “A method of securing a termination element to an end portion of a wire rope, the termination element having an elongated, ductile tubular sleeve portion, including the steps of positioning the end portion of a wire rope within the tubular sleeve portion, positioning the sleeve portion between co-acting dies, the dies having an opening that is non-circular in cross-section, closing the dies a first time to deform the sleeve portion about the wire rope and into a non-circular external cross-section shape opening dies rotating the sleeve portion less than 180.degree. closing the dies a second time to form the sleeve portion into a final external non-circular shape and opening the dies to remove the termination element.”

**REFERENCE A2 (US 6,035,692 – Lucas):** “The termination area of a wire rope is formed by a round or oval sleeve that is formed into a quasi-polygonal, preferably quasi-hexagonal, swage using a two pass die closing method using apparatus wherein opposing die cavity faces or sides include a reservoir space for swage material during the first pass of the die closing.”

**REFERENCE A3 (US 2,151,032 – Jensen):** “The invention relates to joints, used, for example, in splicing wires and the like and to a method of making the same.”

III. No fees are believed to be due in connection with these materials. This Information Disclosure Statement is being filed prior to receipt of an official Office Action.

Date: July 16, 2004

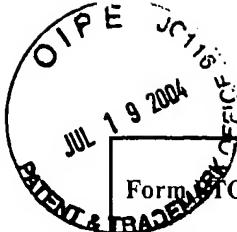
  
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Application Serial No. 10/825,658

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| Form PTO 1449   | U.S. Department of Commerce<br>Patent and Trademark Office |  | Serial No.: 10/825,658   |         | Group Art Unit: 1725 |           |                            |
|---|--|--|--|---------|----------------------|-----------|----------------------------|
| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary)              |  |  | Filing Date: April 14, 2004                                      |         |                      |           |                            |
|   |  |  | Applicant(s): George Robert Gregory and Robert McClure Love, III |         |                      |           |                            |
|   |  |  | Atty. Docket No.: 1323.01  |         |                      |           |                            |
| <b>A REFERENCE - U.S. PATENT DOCUMENTS</b>  |  |  |  |         |                      |           |                            |
| Document Number   | Examiner Initial*  | Patent Number  | Date   | Name    | Class                | Sub Class | Filing Date If Appropriate |
| A1  |  | 6,170,145  | 01/09/2001   | Lucas   | 29                   | 517       | 10/21/1999                 |
| A2  |  | 6,035,692  | 03/14/2000   | Lucas   | 72                   | 416       | 01/19/1999                 |
| A3  |  | 2,151,032  | 03/21/1939   | Jensen  | 29                   | 148       | 06/21/1937                 |
| A4  |  |  |  |         |                      |           |                            |
| A5  |  |  |  |         |                      |           |                            |
| A6  |  |  |  |         |                      |           |                            |
| <b>B REFERENCE - FOREIGN PATENT DOCUMENTS</b>                                     |  |  |  |         |                      |           |                            |
| Document Number   | Examiner Initial*  | Patent Number  | Date   | Country | Class                | Sub Class | Translation                |
|   |  |  |  |         |                      |           | Yes      No                |
| B1  |  |  |  |         |                      |           |                            |
| B2  |  |  |  |         |                      |           |                            |
| B3  |  |  |  |         |                      |           |                            |
| B4  |  |  |  |         |                      |           |                            |
| B5  |  |  |  |         |                      |           |                            |
| <b>C REFERENCE - OTHER DOCUMENTS (Including Author, Title, Date, Pages, Etc.)</b> |  |  |  |         |                      |           |                            |
| Document Number   | Examiner Initial*  | Other Documents Citation   |  |         |                      |           |                            |
| C1  |  |  |  |         |                      |           |                            |
| C2  |  |  |  |         |                      |           |                            |
| C3  |  |  |  |         |                      |           |                            |
| Examiner:   |  | Date Considered:   |  |         |                      |           |                            |
| *Examiner:  |  | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. |  |         |                      |           |                            |